

01-04-2002

PTO/SB/05 (11-00)

Please type a plus sign (+) inside this box → ☐Approved for use through 10/31/2002, OMB 0651-0032
Patent and Trademark office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional application under 37 C.F.R. § 1.53(b))

Attorney Docket No.	12860E-1
First Inventor or Application Identifier	Wang
Title	Carbon Nanotube-Containing Structures, Methods Of Making, And
Express Mail Label No.	EJ445575915US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. ☐ *Fee Transmittal Form (e.g. PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.

7. ☐ CD-ROM or CD-R in duplicate, large table or Program (Appendix)
8. ☐ Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)

3. ☒ Specification [Total Pages 27] a. ☐ Computer Readable Form (CRF)

- b. Specification Sequence Listing on

(preferred arrangement set forth below)

- Descriptive title of the Invention
- Cross Reference to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to Microfiche Appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

- i. ☐ CD-ROM of CD-R (2 copies), or

- ii. ☐ paper

- c. ☐ Statements verifying identity of above copies

4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 11]

5. Oath or Declaration [Total Pages ☐]

- a. ☐ Newly executed (original or copy)

- b. ☐ Copy from a prior application (37 C.F.R. § 1.63(d))

- i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting
inventor(s) named in prior application,
see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

6. ☐ Application Data Sheet. See 37 CFR 1.76

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 C.F.R. § 3.73(b) Statement of Attorney
(when there is an assignee) ☐ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. 122(b)(2)(B)(i)
Applicant must attach form PTO/SB/35 or its equivalent
17. ☐ Other

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment

☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP) of prior application docket No. 12860B-1

Prior application information Examiner Group/Art Unit:

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts

19. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label (Insert Customer No. or Attach bar code label here) or ☒ Correspondence address below

Name	Stephen R. May				
Address	Intellectual Property Services, Battelle Memorial Institute, Pacific Northwest Division, P O Box 999				
City	Richland	State	WA	Zip Code	99352
Country	USA	Telephone	(509) 375-2387	Fax	(509) 375-4487

Name (Print/Type)	Frank S. Rosenberg	Registration No. (Attorney/Agent)	37,068
Signature	<i>Frank S. Rosenberg</i>	Date	Dec. 24, 2001

SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, and DC 20231.

1050 U.S. PTO
10/036332
12/24/01

JC621 U.S. PTO
12/24/01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: :
Wang et al. :
Application No. not yet assigned : Art Unit: not yet assigned
Filed: herewith : Examiner: not yet assigned
For: CARBON NANOTUBE- : Atty Docket: 12860E-2
CONTAINING STRUCTURES, :
METHODS OF MAKING, AND :
PROCESSES OF USING SAME :

CERTIFICATE OF MAILING

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

The undersigned hereby certifies that the attached:

- [X] Patent Application including 11 sheets of drawings
[X] Utility Patent Application Transmittal
[X] Return Postcard

are being deposited with the U.S. Postal Service as:

- [X] Express Mail Label#EJ445575915US

Date: Dec. 24, 2001

By: Frank Rosenberg
Frank Rosenberg

send correspondence to:
Intellectual Property Office
Battelle Memorial Institute
Pacific Northwest Division
Post Office Box 999
Richland, WA 99352
Tel. No. 509-375-2655